



David Glockner

Executive Vice President, Compliance, Audit & Risk

Profile

David Glockner is Executive Vice President, Compliance, Audit & Risk, for Exelon Corporation and all of its operating companies. In that role, he is responsible for ensuring that the company and its employees remain in compliance with all laws, regulations, and internal and external policies affecting Exelon and its operating companies. In addition, he is the chief architect and steward of the company's audit and compliance strategy and procedures, including overseeing efforts to create best-in-class standards for compliance, ethics and accountability and providing regular reports to the company's Board of Directors and senior leadership.

Professional History

Prior to joining Exelon, Glockner served as Chief Compliance Officer for Citadel LLC, a leading global hedge fund. From 2013-2017, he served as Regional Director of the Chicago Regional Office of the U.S. Securities and Exchange Commission (SEC), overseeing the examination and enforcement activities of approximately 240 employees across nine Midwestern states. During that period, he oversaw high-profile cases involving financial fraud, accounting failures and public corruption, and was a leader in developing the SEC's approach to cybersecurity regulation.

Prior to that, Glockner served for 24 years as an Assistant United States Attorney in the Northern District of Illinois, including 11 years as chief of the office's criminal division. In that role, he participated in hundreds of investigations and prosecutions involving financial fraud, public corruption, securities and commodities fraud, cybercrime, and national security. He served as one of the office's computer crime coordinators for more than 20 years and wrote the Justice Department's principal reference manual on banking crimes. He tried more than 30 cases and argued more than 15 appeals before the U.S. Court of Appeals for the Seventh Circuit.

Between his work for the U.S. Attorney's Office and SEC, Glockner opened and led the Chicago office of Stroz Friedberg, a global digital risk management and investigations firm, where he assisted clients in matters involving cybercrime, cybersecurity, digital forensics and electronic discovery.

In addition to his position at Exelon, Glockner is an adjunct instructor at the University of Illinois College of Law, where he co-teaches a course on cybersecurity and the legal system.

Education

Glockner earned his undergraduate degree from the University of Chicago and his J.D. from Stanford Law School.

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